

## **OPTIMAL GLOBAL INVESTMENT FUNDS PLC**

An open-ended umbrella investment company with segregated liability between sub-funds

A company incorporated with limited liability as an open-ended umbrella investment company with variable capital under the laws of Ireland with registered number 550564

### **ADDENDUM TO THE PROSPECTUS**

**This Addendum is supplemental to, forms part of and should be read in conjunction with, the prospectus for Optimal Global Investment Funds plc (the Company) dated 2 January 2019 as amended by addendum dated 16 January 2020 (the Prospectus).**

The Directors of the Company, whose names appear in the section entitled **Directors of the Company** of the Prospectus, accept responsibility for the information contained in this Addendum. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure such is the case), the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors accept responsibility accordingly.

Words and expressions defined in the Prospectus shall, unless the context otherwise requires, have the same meaning when used in this Addendum. For the purposes of interpretation, in the event of any conflict between this Addendum and the Prospectus, any such conflict shall be resolved in favour of this Addendum.

**IMPORTANT:** If you are in doubt about the content of this Addendum, you should consult your stockbroker, bank manager, solicitor, accountant or other financial adviser.

**DATED: 2 March 2021**

The purpose of this Addendum is to update the Prospectus to include the pre-contractual disclosures required under Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector.

## **1 AMENDMENTS TO THE PROSPECTUS**

Section 3 is amended by the insertion of the following new paragraph 3.4 on page 12 of the Prospectus as follows and the renumbering of the subsequent paragraphs accordingly:

### **"3.4 Sustainability Risks**

*Unless the relevant Supplement provides otherwise, the Funds do not promote any specific environmental, social and governance (ESG) characteristics or have a sustainable investment objective.*

*The impact of sustainability risks on the returns of the Funds have been assessed by the relevant Investment Manager and, taking due account of the Investment Objective and Strategy of each Fund, the Investment Manager has determined that the impact of sustainability risks are not materially relevant to the returns of the Funds. A sustainability risk is an environmental, social or governance event or condition that, if it occurs, could cause an actual or a potential material negative impact on the value of the investment.*

*The Manager is not involved in the investment decision-making process for the Funds but carries out independent oversight of investment decisions made by the Investment Manager for the Funds.*

*While the investment team in AB Investment Solutions Limited have substantial expertise in ESG, socially responsible investing and ethical investing, not all of the funds and securities invested in by the Funds are currently screened for sustainability.*

*For those reasons, unless otherwise stated in the Supplement for the relevant Fund, sustainability risks are not integrated into investment decisions for the Funds.*

*The Manager, together with the relevant Investment Manager acting in respect of a Fund, will keep this under review, particularly as the availability of regional ESG index / exchange traded fund solutions improves.*

*Taking due account of the size and scale of the Company's activities, the Manager does not consider the adverse impacts of investment decisions on sustainability factors (being environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters) for the Funds at this time. Where the adverse impacts of investment decisions on sustainability factors are considered by the Investment Manager this will be disclosed in the relevant Supplement.*

*Unless otherwise specified in a Supplement for a Fund, the Funds do not take into account the EU criteria for environmentally sustainable economic activities."*